

Complaint Data to be displayed by Portfolio Managers

Format for investor complaints data to be disclosed by Portfolio Managers on their website on monthly basis:

Data for the month ending – March 2022

Sr No	Received from	Pending at the end of last month	Received	Resolved*	Total Pending#	Pending complaints > 3months	Average Resolution time^ (in days)
	Directly from Investors	Nil	Nil	NA	Nil	Nil	NA
	SEBI (SCORES)	Nil	Nil	NA	Nil	Nil	NA
	Other Sources (if any)	Nil	Nil	NA	Nil	Nil	NA
	Grand Total	Nil	Nil	NA	Nil	Nil	NA

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

Sr No	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	April 2021	Nil	Nil	NA	Nil
2	May 2021	Nil	Nil	NA	Nil
3	June 2021	Nil	1* Doesn't pertain to TATA PMS	1* Doesn't pertain to TATA PMS	Nil
4	July 2021	Nil	Nil	NA	Nil
5	August 2021	Nil	Nil	NA	Nil
6	September 2021	Nil	Nil	NA	Nil
7	October 2021	Nil	Nil	NA	Nil
8	November 2021	Nil	Nil	NA	Nil
9	December 2021	Nil	Nil	NA	Nil
10	January 2022	Nil	Nil	NA	Nil
11	February 2022	Nil	Nil	NA	Nil
12	March 2022	Nil	Nil	NA	Nil

\*Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints

Sr No	Year	Carried forward from previous Year	Received	Resolved**	Pending
1	2018-19	NIL	NIL	N.A.	NIL
2	2019-20	NIL	NIL	N.A.	NIL
3	2020-21	NIL	1* Doesn't pertain to TATA PMS	1* Doesn't pertains to TATA PMS	NIL
4	2021-22	Nil	1* Doesn't pertain to TATA PMS	1* Doesn't pertains to TATA PMS	Nil
	Grand Total	NIL	1	1	NIL

\*\* Inclusive of complaints of previous years resolved in the current year. ## Inclusive of complaints pending as on the last day of the year