

Complaint Data to be displayed by Portfolio Managers

Format for investor complaints data to be disclosed by Portfolio Managers on their website on monthly basis:

Data for the month ending – October 2023

Sr No	Received from	Pending at the end of last month	Received	Resolved*	Total Pending#	Pending complaints > 3months	Average Resolution time^ (in days)
1.	Directly from Investors	Nil	Nil	NA	Nil	Nil	NA
2.	SEBI (SCORES)	Nil	Nil	NA	Nil	Nil	NA
3.	Other Sources (if any)	Nil	Nil	NA	Nil	Nil	NA
	Grand Total	Nil	Nil	NA	Nil	Nil	NA

^ Average Resolution time is the sum of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

Sr No	Month	Carried forward from previous month	Resolved	Resolved*	Pending#
1.	April 2023	Nil	Nil	NA	Nil
2.	May 2023	Nil	Nil	NA	Nil
3.	June 2023	Nil	Nil	NA	Nil
4.	July 2023	Nil	Nil	NA	Nil
5.	August 2023	Nil	Nil	NA	Nil
6.	September 2023	Nil	Nil	NA	Nil
7.	October 2023	Nil	Nil	NA	Nil

*Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints

Sr No	Year	Carried forward from previous month	Received	Resolved*	Pending
1.	2020-21	NIL	1*Doesn't pertain to TATA PMS	1* Doesn't pertains to TATA PMS	NIL
2.	2021-22	NIL	1*Doesn't pertain to TATA PMS	1* Doesn't pertain to TATA PMS	NIL
3.	2022-23	NIL	NIL	NIL	NIL
4.	2023-24 [#]	NIL	NIL	NIL	NIL

** Inclusive of complaints of previous years resolved in the current year. ## Inclusive of complaints pending as on the last day of the year

As on 31-Oct-2023